

## Nuclear Regulatory Commission

## § 13.1

(1) The expiration of the forty-day period provided in paragraph (a) of this section; or

(2) If within the forty-day period provided in paragraph (a) of this section the Commission elects to review the decision, the Commission's issuance of a final decision on review of the initial decision.

(c) Whether to review a decision on its own motion is a matter within the discretion of the Commission. If review is taken, the Commission will issue a final decision on the application or remand the application to the adjudicative officer for further proceedings.

### § 12.309 Judicial review.

Judicial review of final agency decisions on awards may be sought as provided in 5 U.S.C. 504(c)(2).

### § 12.310 Payment of award.

An applicant seeking payment of an award shall submit to the appropriate official of the paying agency a copy of the Commission's final decision granting the award, accompanied by a certification that the applicant will not seek review of the decision in the United States courts. Where the award is granted against the Commission, the applicant shall make the submission to the Director, Division of Accounting and Finance, Office of the Controller, U.S. Nuclear Regulatory Commission, Washington, DC 20555. The NRC will pay the amount awarded to the applicant within 60 days.

## PART 13—PROGRAM FRAUD CIVIL REMEDIES

Sec.

- 13.1 Basis and purpose.
- 13.2 Definitions.
- 13.3 Basis for civil penalties and assessments.
- 13.4 Investigation.
- 13.5 Review by the reviewing official.
- 13.6 Prerequisites for issuing a complaint.
- 13.7 Complaint.
- 13.8 Service of complaint.
- 13.9 Answer.
- 13.10 Default upon failure to file an answer.
- 13.11 Referral of complaint and answer to the ALJ.
- 13.12 Notice of hearing.
- 13.13 Parties to the hearing.
- 13.14 Separation of functions.
- 13.15 Ex parte contacts.

- 13.16 Disqualification of reviewing official or ALJ.
- 13.17 Rights of parties.
- 13.18 Authority of the ALJ.
- 13.19 Prehearing conferences.
- 13.20 Disclosure of documents.
- 13.21 Discovery.
- 13.22 Exchange of witness lists, statements, and exhibits.
- 13.23 Subpoenas for attendance at hearing.
- 13.24 Protective order.
- 13.25 Fees.
- 13.26 Form filing and service of papers.
- 13.27 Computation of time.
- 13.28 Motions.
- 13.29 Sanctions.
- 13.30 The hearing and burden of proof.
- 13.31 Determining the amount of penalties and assessments.
- 13.32 Location of hearing.
- 13.33 Witnesses.
- 13.34 Evidence.
- 13.35 The record.
- 13.36 Post-hearing briefs.
- 13.37 Initial decision.
- 13.38 Reconsideration of initial decision.
- 13.39 Appeal to authority head.
- 13.40 Stays ordered by the Department of Justice.
- 13.41 Stay pending appeal.
- 13.42 Judicial review.
- 13.43 Collection of civil penalties and assessments.
- 13.44 Right to administrative offset.
- 13.45 Deposit in Treasury of United States.
- 13.46 Compromise or settlement.
- 13.47 Limitations.

**Authority:** Public Law 99-509, secs. 6101-6104, 100 Stat. 1874 (31 U.S.C. 3801-3812). Sections 13.13 (a) and (b) also issued under section Pub. L. 101-410, 104 Stat. 890, as amended by section 31001(s), Pub. L. 104-134, 110 Stat. 1321-373 (28 U.S.C. 2461 note).

**SOURCE:** 56 FR 47135, Sept. 18, 1991, unless otherwise noted.

### § 13.1 Basis and purpose.

(a) *Basis.* This part implements the Program Fraud Civil Remedies Act of 1986, Public Law No. 99-509, §§ 6101-6104, 100 Stat. 1874 (October 21, 1986) (31 U.S.C. 3801-3812). 31 U.S.C. 3809 requires each authority head to promulgate regulations necessary to implement the provisions of that Act.

(b) *Purpose.* This part (1) establishes administrative procedures for imposing civil penalties and assessments against persons who make, submit, or present, or cause to be made, submitted, or presented, false, fictitious, or fraudulent claims or written statements to authorities or to their agents, and (2)